

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: SSI-02001	Serial No.: 09/841,800		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) (37 CFR § 1.98(b))				Applicant: Maximilian Biberger et al.			
				Filing Date: April 24, 2001		Group Art Unit: 2825	
<p style="text-align: center;">U.S. PATENT DOCUMENTS</p> <p style="text-align: center;">JUN 28 2001 U.S. PATENT &amp; TRADEMARK OFFICE</p>							
Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patente	Class	Subclass	Filing Date	
CM	2,617,719	11/11/52	C. R. Stewart	23	312	12/29/50	
CM	3,890,176	06/17/75	Bolon	156	2	12/17/73	
CM	3,900,551	08/19/75	Bardoncelli et al.	423	9	03/02/72	
CM	4,029,517	06/14/77	Rand	134	11	03/01/76	
CM	4,091,643	05/30/78	Zucchini	68	18C	02/17/77	
CM	4,341,592	07/27/82	Shortes et al.	156	643	08/04/75	
CM	4,474,199	10/02/84	Blaudszun	134	105	11/09/82	
CM	4,475,993	10/09/84	Blander et al.	204	64T	08/15/83	
CM	4,601,181	07/22/86	Privat	68	18C	11/17/83	
CM	4,693,777	09/15/87	Hazano et al.	156	345	11/27/85	
CM	4,749,440	06/07/88	Blackwood et al.	156	646	05/12/87	
CM	4,788,043	11/29/88	Kagiyama et al.	422	292	04/17/86	
CM	4,838,476	06/13/89	Rahn	228	180.1	11/12/87	
CM	4,865,061	09/12/89	Fowler et al.	134	108	07/22/83	
CM	4,879,004	11/07/89	Oesch et al.	203	89	05/04/88	
CM	4,917,556	04/17/90	Stark et al.	414	217	05/26/89	
CM	4,923,828	05/08/90	Gluck et al.	437	225	08/07/89	
CM	4,924,892	05/15/90	Kiba et al.	134	123	07/28/88	
CM	4,944,837	07/31/90	Nishikawa et al.	156	646	02/28/89	
CM	4,960,140	10/02/90	Ishijima et al.	134	31	11/27/85	
CM	4,983,223	01/08/91	Gessner	134	25.4	10/24/89	
CM	5,011,542	04/30/91	Weil	134	38	07/21/88	
CM	5,013,366	05/07/91	Jackson et al.	134	1	12/07/88	
CM	5,068,040	11/26/91	Jackson	210	748	04/03/89	
CM	5,105,556	04/21/92	Kurokawa et al.	34	12	08/09/88	
CM	5,143,103	09/01/92	Basso et al.	134	98.1	01/04/91	
CM	5,158,704	10/27/92	Fulton et al.	252	309	07/25/90	
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CM	5,185,296	02/09/93	Morita et al.	437	229	04/24/91	
CM	5,186,718	02/16/93	Tepman et al.	29	25.01	04/15/91	
CM	5,193,560	03/16/93	Tanaka et al.	134	56R	06/24/91	
CM	5,213,619	05/25/93	Jackson et al.	134	1	11/30/89	
CM	5,215,592	06/01/93	Jackson	134	1	01/22/91	
CM	5,225,173	07/06/93	Wai	423	2	10/25/91	
CM	5,236,602	08/17/93	Jackson	210	748	01/28/91	
Examiner: <i>C. Buerger</i>				Date Considered: <i>7-25-02</i>			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date	
CMC JUN 1 8 2001	5,237,824	08/24/93	Pawliszyn	62	51.1	10/12/90	
CMC JUN 1 8 2001	5,261,965	11/16/93	Moslehi	134	1	08/28/92	
CMC JUN 1 8 2001	5,266,205	11/30/93	Fulton et al.	210	639	07/01/92	
CMC JUN 1 8 2001	5,267,455	12/07/93	Deweese et al.	68	5C	07/13/92	
CMC JUN 1 8 2001	5,274,129	12/28/93	Natale et al.	549	349	06/12/91	
CMC JUN 1 8 2001	5,288,333	02/22/94	Tanaka et al.	134	31	07/29/92	
CMC JUN 1 8 2001	5,290,361	03/01/94	Hayashida et al.	134	2	01/23/92	
CMC JUN 1 8 2001	5,294,261	03/15/94	McDermott et al.	134	7	11/02/92	
CMC JUN 1 8 2001	5,304,515	04/19/94	Morita et al.	437	231	08/07/92	
CMC JUN 1 8 2001	5,306,350	04/26/94	Hoy et al.	134	22.14	04/27/92	
CMC JUN 1 8 2001	5,313,965	05/24/94	Palen	134	61	06/01/92	
CMC JUN 1 8 2001	5,316,591	05/31/94	Chao et al.	134	34	08/10/92	
CMC JUN 1 8 2001	5,334,332	08/02/94	Lee	252	548	07/09/92	
CMC JUN 1 8 2001	5,334,493	08/02/94	Fujita et al.	430	463	09/29/93	
CMC JUN 1 8 2001	5,337,446	08/16/94	Smith et al.	15	21.1	10/27/92	
CMC JUN 1 8 2001	5,352,327	10/04/94	Witowski	156	646	07/10/92	
CMC JUN 1 8 2001	5,355,901	10/18/94	Mielnik et al.	134	105	10/27/92	
CMC JUN 1 8 2001	5,356,538	10/18/94	Wai et al.	210	634	10/21/91	
CMC JUN 1 8 2001	5,364,497	11/15/94	Chau et al.	156	645	08/04/93	
CMC JUN 1 8 2001	5,368,171	11/29/94	Jackson	134	147	07/20/92	
CMC JUN 1 8 2001	5,370,740	12/06/94	Chao et al.	134	1	10/01/93	
CMC JUN 1 8 2001	5,377,705	01/03/95	Smith, Jr. et al.	134	95.3	09/16/93	
CMC JUN 1 8 2001	5,401,322	03/28/95	Marshall	134	13	06/30/92	
CMC JUN 1 8 2001	5,403,621	04/4/95	Jackson et al.	427	255.1	10/01/93	
CMC JUN 1 8 2001	5,403,665	04/04/95	Alley et al.	428	447	06/18/93	
CMC JUN 1 8 2001	5,417,768	05/23/95	Smith, Jr. et al.	134	10	12/14/93	
CMC JUN 1 8 2001	5,456,759	10/10/95	Stanford, Jr. et al.	134	1	08/01/94	
CMC JUN 1 8 2001	5,470,393	11/28/95	Fukazawa	134	3	07/08/94	
CMC JUN 1 8 2001	5,482,564	01/09/96	Douglas et al.	134	18	06/21/94	
CMC JUN 1 8 2001	5,494,526	02/27/96	Paranjpe	134	1	05/04/95	
CMC JUN 1 8 2001	5,500,081	03/19/96	Bergman	156	646.1	12/05/94	
CMC JUN 1 8 2001	5,501,761	03/26/96	Evans et al.	156	344	10/18/94	
CMC JUN 1 8 2001	5,514,220	05/07/96	Wetmore et al.	134	22.18	12/09/92	
CMC JUN 1 8 2001	5,522,938	06/04/96	O'Brien	134	1	08/08/94	
CMC JUN 1 8 2001	5,526,834	06/18/96	Mielnik et al.	134	105	08/17/94	
CMC JUN 1 8 2001	5,533,538	07/09/96	Marshall	134	104.4	12/01/94	
Examiner: <i>C. Fuerkast</i>				Date Considered: <i>7-25-02</i>			
<b>EXAMINER:</b> Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

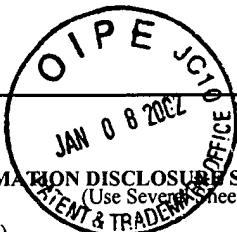
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CMIE	CU 5,547,774	08/20/96	Gimzewski et al.	428	694 ML	09/01/93
CMIE	CV 5,550,211	08/27/96	DeCrosta et al.	528	480	12/17/92
CMIE	CW 5,580,846	12/03/96	Hayashida et al.	510	175	01/09/95
CMIE	CX 5,589,082	12/31/96	Lin et al.	216	2	06/07/95
CMIE	CY 5,589,105	12/31/96	DeSimone et al.	252	351	05/18/95
CMIE	CZ 5,629,918	05/13/97	Ho et al.	369	112	01/20/95
CMIE	DA 5,632,847	05/27/97	Ohno et al.	156	344	04/24/95
CMIE	DB 5,635,463	06/03/97	Muraoka	510	175	07/19/95
CMIE	DC 5,637,151	06/10/97	Schulz	134	2	06/27/94
CMIE	DD 5,641,887	06/24/97	Beckman et al.	546	26.2	04/01/94
CMIE	DE 5,656,097	08/12/97	Olesen et al.	134	1	12/21/94
CMIE	DF 5,665,527	09/09/97	Allen et al.	430	325	02/03/97
CMIE	DG 5,679,169	10/21/97	Gonzales et al.	134	1.3	12/19/95
CMIE	DH 5,679,171	10/21/97	Saga et al.	134	3	03/06/96
CMIE	DI 5,683,977	11/04/97	Jureller et al.	510	286	03/06/95
CMIE	DJ 5,688,879	11/18/97	DeSimone	526	89	02/17/97
CMIE	DK 5,700,379	12/23/97	Biebl	216	2	02/14/96
CMIE	DL 5,726,211	03/10/98	Hedrick et al.	521	61	03/21/96
CMIE	DM 5,730,874	03/24/98	Wai et al.	210	638	06/02/94
CMIE	DN 5,739,223	04/14/98	DeSimone	526	89	09/18/95
CMIE	DO 5,783,082	07/21/98	DeSimone et al.	210	634	11/03/95
CMIE	DP 5,797,719	08/25/98	James et al.	417	46	10/30/96
CMIE	DQ 5,798,438	08/25/98	Sawan et al.	528	483	09/09/96
CMIE	DR 5,804,607	09/08/98	Hedrick et al.	521	64	10/16/97
CMIE	DS 5,866,005	02/02/99	DeSimone et al.	210	634	11/01/96
CMIE	DT 5,868,856	02/09/99	Douglas et al.	134	2	07/23/97
CMIE	DU 5,868,862	02/09/99	Douglas et al.	134	26	07/31/97
CMIE	DV 5,872,257	02/16/99	Beckman et al.	546	336	04/01/97
CMIE	DW 5,873,948	02/23/99	Kim	134	19	06/24/97
CMIE	DX 5,881,577	03/16/99	Sauer et al.	68	5	09/09/96
CMIE	DY 5,888,050	03/30/99	Fitzgerald et al.	417	46	08/25/98
CMIE	DZ 5,900,354	05/04/99	Batchelder	430	395	07/03/97
CMIE	EA 5,908,510	06/01/99	McCullough et al.	134	2	10/16/96
CMIE	EB 5,932,100	08/03/99	Yager et al.	210	634	06/14/96
CMIE	EC 5,944,996	08/31/99	DeSimone et al.	210	634	05/02/97
Examiner:	C. Burkhardt		Date Considered:	7-25-02		
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<div style="text-align: center; margin-bottom: 5px;"> <b>U.S. PATENT DOCUMENTS</b> </div> <table border="1"> <thead> <tr> <th>Examiner Initials</th> <th>Serial Patent Number</th> <th>Issue Date</th> <th>Applicant / Patentee</th> <th>Class</th> <th>Subclass</th> <th colspan="2">Filing Date</th> </tr> </thead> <tbody> <tr><td>CME</td><td>ED 5,965,025</td><td>10/12/99</td><td>Wai et al.</td><td>210</td><td>634</td><td colspan="2">01/21/98</td></tr> <tr><td>CME</td><td>EE 5,976,264</td><td>11/02/99</td><td>McCullough et al.</td><td>134</td><td>2</td><td colspan="2">11/30/98</td></tr> <tr><td>CME</td><td>EF 5,980,648</td><td>11/09/99</td><td>Adler</td><td>134</td><td>34</td><td colspan="2">12/30/93</td></tr> <tr><td>CME</td><td>EG 6,017,820</td><td>01/25/00</td><td>Ting et al.</td><td>438</td><td>689</td><td colspan="2">07/17/98</td></tr> <tr><td>CME</td><td>EH 6,024,801</td><td>02/15/00</td><td>Wallace et al.</td><td>134</td><td>1</td><td colspan="2">12/09/96</td></tr> <tr><td>CME</td><td>EI 6,114,044</td><td>09/05/00</td><td>Houston et al.</td><td>428</td><td>447</td><td colspan="2">05/30/97</td></tr> <tr><td>CME</td><td>EJ 6,149,828</td><td>11/21/00</td><td>Vaartstra</td><td>216</td><td>57</td><td colspan="2">05/05/97</td></tr> <tr><td>CME</td><td>EK 6,242,165 B1</td><td>06/05/01</td><td>Vaartstra</td><td>430</td><td>329</td><td colspan="2">08/28/98</td></tr> </tbody> </table>								Examiner Initials	Serial Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date		CME	ED 5,965,025	10/12/99	Wai et al.	210	634	01/21/98		CME	EE 5,976,264	11/02/99	McCullough et al.	134	2	11/30/98		CME	EF 5,980,648	11/09/99	Adler	134	34	12/30/93		CME	EG 6,017,820	01/25/00	Ting et al.	438	689	07/17/98		CME	EH 6,024,801	02/15/00	Wallace et al.	134	1	12/09/96		CME	EI 6,114,044	09/05/00	Houston et al.	428	447	05/30/97		CME	EJ 6,149,828	11/21/00	Vaartstra	216	57	05/05/97		CME	EK 6,242,165 B1	06/05/01	Vaartstra	430	329	08/28/98	
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CME	EP 0 370 233 A1	05/30/90	EPO	C22B	3/00		X																																																																								
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CME	WO 99/49998	10/07/99	WIPO	B08B	5/00		X																																																																								
CME	WO 90/06189	06/14/90	WIPO	B08B	7/00		X																																																																								
CME	WO 90/13675	11/15/90	WIPO	C22B	3/00		X																																																																								
<b>OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)</b>																																																																															
CME	FF	J.B. Rubin et al. "A Comparison of Chilled DI Water/Ozone and Co2-Based Supercritical Fluids as Replacements for Photoresist-Stripping Solvents" 1998, pp. 308-314, IEEE/CPMT Int'l Electronics Manufacturing Technology Symposium.																																																																													
CME	FG	"Los Almos National Laboratory," Solid State Technology, pp. S10 & S14, October 1998.																																																																													
CME	FH	"Supercritical Carbon Dioxide Resist Remover, SCORR, the Path to Least Photoresistance," Los Almos National Laboratory, 1998.																																																																													
CME	FI	Guan, Z. et al., "Fluorocarbon-Based Heterophase Polymeric Materials. 1. Block Copolymer Surfactants for Carbon Dioxide Applications," Macromolecules, Vol. 27, 1994, pp 5527-5532.																																																																													
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 JUN 28 2001		OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)			
CME	PT	International Journal of Environmentally Conscious Design & Manufacturing, Vol. 2, No. 1, 1993, p. 83.			
CME	PT	Johnson and Smith "Supercritical Fluids", Journal of the American Ceramic Society, Vol. 72, No. 6, pp. 872-874.			
CME	FL	Ziger, D.H. et al., "Compressed Fluid Technology: Application to RIE Developed Resists," AIChE Journal, Vol. 33, No. 10, October 1987, pp 1585-1591.			
CME	FM	Kirk-Othmer, "Alcohol Fuels to Toxicology," Encyclopedia of Chemical Terminology, 3rd ed., Supplement Volume, 1984, pp. 872-893.			
CME	FN	"Cleaning with Supercritical CO <sub>2</sub> ," NASA Tech Briefs, MFS-29611, Marshall Space Flight Center, Alabama, March 1979.			
CME	FO	Basta, N., "Supercritical Fluids: Still Seeking Acceptance," Chemical Engineering, Vol. 92, No. 3, 2/24/85, p. 14			
CME	FP	Takahashi, D., "Los Alamos Lab Finds Way to Cut Chip Toxic Waste," Wall Street Journal, June 22, 1998.			
CME	FQ	"Supercritical CO <sub>2</sub> Process Offers Less Mess from Semiconductor Plants", Chemical Engineering Magazine, pp. 27 & 29, July 1998.			
CME	FR	Sun, Y.P. et al., "Preparation of Polymer-Protected Semiconductor Nanoparticles Through the Rapid Expansion of Supercritical Fluid Solution," Chemical Physics Letters, pp. 585-588, May 22, 1998.			
CME	FS	Jackson, K. et al., "Surfactants and Micromulsions in Supercritical Fluids," Supercritical Fluid Cleaning. Noyes Publications, Westwood, NJ, pp. 87-120, Spring 1998			
CME	FT	Kryszewski, M., "Production of Metal and Semiconductor Nanoparticles in Polymer Systems," Polymery, pp. 65-73, February 1998			
CME	FU	Bakker, G.L. et al., "Surface Cleaning and Carbonaceous Film Removal Using High Pressure, High Temperature Water, and Water/CO <sub>2</sub> Mixtures," J. Electrochem. Soc, Vol. 145, No. 1, pp. 284-291, Jan. 98.			
CME	FV	Ober, C.K. et al., "Imaging Polymers with Supercritical Carbon Dioxide," Advanced Materials, Vol. 9, No. 13, 1039-1043, 3 Nov. 1997			
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Examiner	<i>C. Kuerkast</i>		Date Considered:	<i>7-25-02</i>	
EXAMINER:	Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

FORM PTO-1440 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: SSI-02001	Serial No.: 09/841,800
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use Several Sheets If Necessary) (37 CFR § 1.98(b)) <b>JUN 28 2001</b>				Applicant: Maximilian Biberger et al.	
				Filing Date: April 24, 2001	Group Art Unit: <b>2825</b>
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)					
<b>CME</b>		Carr, E.F. et al., "Supercritical Water Oxidation Research and Development Update," Environment Progress, Vol. 14, No. 3. Pp. 182-192, August 1995			
<b>CME</b>	GO	Gallagher-Wetmore, P. et al., "Supercritical Fluid Processing: A New Dry Technique for Photoresist Developing," IBM Research Division, SPIE Vol. 2438, pp.694-708, Jun. 1995			
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Examiner:	<i>C. Huerkost</i>		Date Considered: <i>7-25-02</i>		
EXAMINER:	Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

FORM PTO-1449 (Modified) <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use Several Sheets If Necessary) (37 CFR § 1.98(b))				U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: SSI-02001	Serial No.: 09/841,800
						Applicant: Maximilian B. Biberger <i>et al.</i>	
						Filing Date: April 24, 2001	



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Examiner: *C. Euerhart*Date Considered: *7-25-02*

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(37 CFR § 1.98(b))

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U.S. Department of Commerce  
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Attorney Docket No.: SSI-02001

Serial No.: 09/841,800

Applicant: Maximilian B. Biberger *et al.*

Filing Date: April 24, 2001

Group Art Unit: 2842 2825

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Examiner:

*C. Luekens*

Date Considered:

7-25-02

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FORM PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No.: SSI-02001	Serial No.: 09/841,800
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>Use Several Sheets If Necessary</small> <small>(37 CFR § 1.98(b))</small>		Applicant: Maximilian B. Biberger <i>et al.</i>	
		Filing Date: April 24, 2001	Group Art Unit: 2012 2025

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Examiner: *C. Everhart*Date Considered: *7-25-02*

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.